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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

8830-14

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

**10/030573** ✓

INTERNATIONAL APPLICATION NO.  
**PCT/GB00/03343** ✓

INTERNATIONAL FILING DATE  
**September 1, 2000** ✓

PRIORITY DATE CLAIMED  
**September 3, 1999** ✓

TITLE OF INVENTION

**Sealant For Vascular Prostheses**

APPLICANT(S) FOR DO/EO/US

**Karen Anne Kelso**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail **EL 813789677 US**
23. ☒ Other items or information: **International Application as published with International Search Report**

**8830-14**

Page 2 of 2

PATENT

Attorney Docket No.: 8830-14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of Karen Anne Kelso :  
: Group Art Unit:  
Serial No.: not yet assigned :  
(International Application: PCT/GB00/03343) :  
Filed: herewith : Examiner:  
(International Application: Sept. 1, 2000) :  
For: SEALANT FOR VASCULAR PROTHESES :  
:

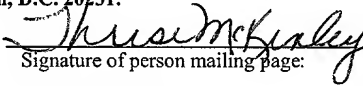
PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231  
Sir:

Kindly amend the above-identified application, without prejudice, in advance of calculating the filing fee. A mark-up of the amended claims is contained in Appendix A hereto.

In the Specification:

Insert the abstract submitted herewith on a separate page.

<p style="text-align: center;"><b>CERTIFICATE OF MAILING</b> <b>UNDER 37 C.F.R. 1.10</b></p> <p>EXPRESS MAIL Mailing Label Number: <u>EL 813789677 US</u> Date of Deposit: <u>January 2, 2002</u></p> <p>I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Commissioner for Patents, Washington, D.C. 20231.</p> <p style="text-align: right;"> Signature of person mailing page: <u>Therese McKinley</u> Type or print name of person</p>
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**In the Claims:**

Rewrite claims 2, 4, 7, 9, 10, 14 and 15 to read as follows:

2. (amended) The sealant as claimed in Claim 1, wherein said dextran molecules include naturally occurring dextran, hydrophilic hydroxyl group-containing derivatives of dextran or modified forms of dextran containing other reactive groups.

4. (amended) The sealant as claimed in Claim 1 wherein the dextran molecules have a molecular weight of 30,000 to 60,000.

7. (amended) The method as claimed in Claim 6 wherein said flexible material is a polyester knitted or woven fabric, or a PTFE-based material.

9. (amended) The method as claimed in Claim 5 further including the step of plasticizing said cross-linked dextran by exposure of said coated surface to glycerol and, optionally, thereafter removing excess glycerol by alcohol rinsing.

10. (amended) A prosthetic graft impregnated or coated with the bioresorbable sealant as claimed in Claim 1 .

14. (amended) The method as claimed in Claim 11 wherein the temperature is from 30°C to 200°.

15. (amended) The method as claimed in Claim 11 wherein said dextran molecules have a molecular weight of 30,000 to 60,000.

**Remarks**

Claims 1-15 are pending in the application. The claims have been preliminarily amended to reduce dependencies and more closely conform to United States practice. The obvious

correction of "practising" to "*plasticizing*" has been made in claim 9. Support for the correction is found in the specification at page 7, lines 11-19.

The specification was amended in the international phase. A replacement page 3 is contained in the annex to the International Examination Report. It is understood that the replacement page will be inserted into the specification, and become part of the national stage specification.

Respectfully submitted,

KAREN ANNE KELSO



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## APPENDIX A: Mark-up of amended claims

2. (amended) The sealant as claimed in Claim 1, wherein said dextran molecules include naturally occurring dextran, hydrophilic hydroxyl group-containing derivatives of dextran or modified forms of dextran containing other reactive groups[, for example dextran sulphate].

4. (amended) The sealant as claimed in [any one of Claims] Claim 1 [to 3] wherein the dextran molecules have a molecular weight of 30,000 to 60,000.

7. (amended) The method as claimed in [either one of Claims 5 and] Claim 6 wherein said flexible material is a polyester knitted or woven fabric, or a PTFE-based material.

9. (amended) The method as claimed in [any one of Claims] Claim 5 [to 8] further including the step of [practising] plasticizing said cross-lined dextran by exposure of said coated surface to glycerol and, optionally, thereafter removing excess glycerol by alcohol rinsing.

10. (amended) A prosthetic graft impregnated or coated with the bioresorbable sealant as claimed in [any one of Claims] Claim 1 [to 4].

14. (amended) The method as claimed in [any one of Claims] Claim 11 [to 13] wherein the temperature is from 30°C to 200°.

15. (amended) The method as claimed in [any one of Claims] Claim 11 [to 14] wherein said dextran molecules have a molecular weight of 30,000 to 60,000.

## **SEALANT FOR VASCULAR PROSTHESES**

### **Abstract of the Disclosure**

There is described a bioresorbable sealant or coating for a prosthetic graft. The novel sealant described is based upon dextran, preferably obtained by microbial fermentation, cross-linked through reaction with formaldehyde and urea. The breakdown products of the sealant or coating are all of low molecular weight and may be easily processed by the body. A method of producing the novel sealant or coating is also described.

1 SEALANT FOR VASCULAR PROSTHESES

2

3 The present invention relates to a non-gelatine based  
4 coating or sealant for porous vascular prostheses, and  
5 to a method of making that coating or sealant.

6

7 Porous vascular prostheses constructed from textiles  
8 (such as polyester) are normally woven or knitted and  
9 ultimately rely on host tissue penetrating into the  
10 spaces between the yarns. To function in the long term  
11 the prostheses must, therefore, acquire porosity whilst  
12 at implant bleeding through the prosthesis wall must be  
13 prevented or at least limited to an acceptable level.

14

15 In the past this dilemma has been resolved by soaking a  
16 porous textile-based prosthesis in the patient's blood  
17 which then clots to form a seal. This pre-clotting  
18 technique is time consuming, exposes the prosthesis to  
19 potential contamination and may be ineffective in  
20 patients with reduced clotting ability (either reduced



1 spontaneous blood clotting or through administration of  
2 anti-platelet or anti-thrombotic medication).

3

4 More recently, vascular prostheses have been pre-sealed  
5 with a variety of bioresorbable materials. The  
6 sealants tried to date have tended to be protein based,  
7 such as collagen, gelatin or albumen. Cross-linkers  
8 such as glutaraldehyde, formaldehyde, carbodiimide or  
9 isocyanates have been used to render the proteins  
10 insoluble and mention may be made of EP-B-0,183,365;  
11 US-A-4,747,848 and US-A-4,902,290, all of which  
12 describe the preparation of cross-linked gelatin-based  
13 sealants. Hydrolysis or enzymatic attack in the host  
14 tissue has then gradually degraded or removed the  
15 sealant from the textile to permit the necessary tissue  
16 ingrowth.

17

18 The prior art protein based sealants are derived from  
19 animal or human sources, which creates the potential  
20 for transmission of infection. This has been  
21 especially of concern following transmission of BSE to  
22 humans which has greatly elevated public concern over  
23 the safety of animal derived implants. Additionally,  
24 although some materials, such as gelatin, are produced  
25 in large commercial quantities and blended to give high  
26 lot-to-lot consistency, manufacture from natural raw  
27 materials always has the potential for variability  
28 which creates uncertainty regarding performance of the  
29 graft.

30

31 The present invention relates to a bioresorbable  
32 sealant which is not animal derived but is based on

1 cross-linked dextran. Dextran is produced by a  
2 fermentation process using *Leuconostoc mesenteroides*  
3 bacteria growing on a sugar-based energy source, such  
4 as sucrose. Partial hydrolysis of the fermentation  
5 product yields dextrans of defined molecular weight.  
6 These have been used widely as plasma substitutes with  
7 a typical molecular weight of 40,000.

8  
9 Dextrans of this molecular weight are freely water-  
10 soluble. To form a useful graft sealant, the dextrans  
11 must be rendered insoluble. However, dextrans are not  
12 easily cross-linked as they have limited reactive sites  
13 to form intermolecular bonds. The available groups are  
14 almost exclusively hydroxyl (OH) groups.

15  
16 British Patent No 854,715 describes the formation of a  
17 dextran-based polymer by using epichlorohydrin.  
18 However the epichlorohydrin-based approach forms very  
19 stable cross-links so that the resultant polymer is  
20 resistant to both enzymatic and hydrolytic attack and  
21 does not biodegrade. Epichlorohydrin cross-linked  
22 dextran is, therefore, unsuitable as a vascular graft  
23 sealant as it is not bioresorbable and would not permit  
24 tissue ingrowth within the timescale required. EP-B-  
25 0,183,365 and US-A-4,747,848 both describe a gelatin-  
26 based sealant in which the time-scale of reabsorption  
27 is controllable.

28  
29 To overcome this problem, a novel dextran-based polymer  
30 has been produced which is bioresorbable through  
31 hydrolysis in the time scale of interest.

32

1 The present invention provides a bioresorbable sealant  
2 composition comprising a polymer formed by reaction  
3 between dextran, formaldehyde and urea. Whilst the  
4 dextran polymer product is insoluble, the polymer is  
5 formed with bonds that are sufficiently labile to  
6 permit resorption at an appropriate rate for tissue  
7 ingrowth. Furthermore, when the cross-linked polymer  
8 breaks down, it does so into simple products all of  
9 which have a low molecular weight and which are easy  
10 for the body to dispose of.

11  
12 The term "dextran" as used herein includes naturally  
13 occurring dextran (especially that obtained through  
14 fermentation of micro-organisms such as *Leuconostoc*  
15 *sp.*) as well as hydrophilic hydroxyl group-containing  
16 derivatives of dextran, for example partially  
17 depolymerized dextran, dextran glycerine glycoside or  
18 hydrodextran. Also included are modified forms of  
19 dextran containing other reactive groups, for example  
20 carboxyl, sulphonic, sulphate, amino or substituted  
21 amino groups. Mention may be made of  
22 carboxymethyldextran and dextran sulphate as examples  
23 of modified dextran. Mixtures of different dextrans  
24 (as defined herein) may of course also be used, where  
25 appropriate.

26  
27 The polymer described herein is formed in water or an  
28 aqueous-based solvent. It is therefore essential that  
29 the dextran selected as the initial reactant should be  
30 water-soluble or in the form of swollen particles.

31

1 Dextrans having a molecular weight of 10,000 to  
2 100,000, in particular 20,000 to 80,000, especially  
3 30,000 to 60,000 may be used. Preferably the dextran  
4 used in the invention has a typical molecular weight of  
5 about 40,000.

6  
7 Viewed from one aspect, therefore, the present  
8 invention provides a method of forming polymerised  
9 dextran for use as a biodegradable coating for a  
10 prosthetic graft, said method comprising:

- 11  
12 a) exposing a water-based solution of dextran to 2 to  
13 25 (weight %) of urea and allowing the urea to  
14 enter into solution to form a mixture;  
15  
16 b) exposing the mixture of step a) to formaldehyde;  
17  
18 c) heating the mixture of step b) at temperatures  
19 between 20 to 250°C for a time sufficient to allow  
20 polymerisation to occur.

21  
22 The formaldehyde is conveniently added in the form of  
23 formalin (a 37% aqueous solution of formaldehyde  
24 hydrate). Alternatively, it would be possible to  
25 bubble formaldehyde gas through the mixture of step (a)  
26 to achieve the required reaction. The quantity of  
27 formaldehyde required may be determined  
28 stoichiometrically having regard to the amount of urea  
29 added in step (a). We have found that an amount of  
30 formaldehyde equivalent to 50 to 100% (by weight) with  
31 reference to the amount of urea achieves the required

1 result, with 70 to 80% (by weight) being preferred.  
2 Usually a time period of from five to 60 minutes is  
3 sufficient to permit the cross-linking reaction to  
4 occur.

5  
6 In a further aspect, the present invention provides a  
7 method of producing a non-porous graft by impregnating  
8 or coating a flexible material with a mixture of  
9 dextran, urea and formaldehyde, and incubating said  
10 impregnated material at temperatures of from 20°C to  
11 250°C for a time sufficient to facilitate cross-linking  
12 of said dextran. The flexible material to be  
13 impregnated or coated will usually be a macroporous (eg  
14 a knitted or woven) fabric. However, non-porous or  
15 microporous materials may likewise be coated, with the  
16 sealant reducing blood loss after suturing.

17  
18 Preferably the temperature selected is from 30°C to  
19 200°C, for example is from 45°C to 160°C.

20  
21 The flexible porous material to be treated by the  
22 present invention may be of any conventional type or  
23 construction. Particular mention may be made of  
24 polyester (e.g. DACRON<sup>TM</sup>) knitted or woven fabric and  
25 also of PTFE-based materials. Additionally, expanded  
26 PTFE may be coated as described since, although the  
27 material itself is non-porous, porosity will be  
28 introduced when the graft is stitched into place by the  
29 surgeon. The graft may be simply immersed in the  
30 reaction mixture or may be selectively dipped therein  
31 (for example the graft may be placed on a mandrel and

1 "rolled" over the surface of the reaction mixture to  
2 coat the external surface only). Optionally pressure  
3 may be used to ensure penetration of the reaction  
4 mixture into the interstices of a porous graft.

5

6 In a further aspect, the invention also provides a  
7 prosthetic graft impregnated or coated with the  
8 bioresorbable sealant of the invention. The graft may  
9 be, for example, a knitted polyester graft.

10

11 To prevent the sealant from drying out on the graft and  
12 becoming brittle in storage it is advantageous to  
13 plasticise the treated graft with a biocompatible agent  
14 such as glycerol. This is preferably achieved by  
15 treating the sealed grafts with glycerol after cross-  
16 linking of the dextran. Excess glycerol may be removed  
17 by alcohol rinsing. Suitable alcohols include ethanol,  
18 methanol and propanol, but other alcohols may also be  
19 used.

20

21 As described above, the treated graft may be  
22 plasticised. Alternatively, or additionally, the graft  
23 may undergo a separate sterilisation step, for example  
24 by exposure to  $\gamma$ -irradiation. Sterilisation may not be  
25 required if the graft and coating have been formed in  
26 sterile conditions.

27

28 The primary mechanism of polymerisation involves a  
29 urea/formaldehyde condensation reaction, where the  
30 application of high temperature and water encourages  
31 polymerisation of the dextran reactant. Subsequent

1 condensation reactions involve primary hydroxyl groups  
2 present on the dextran molecule. Due to the small  
3 levels of urea and formaldehyde required to cause the  
4 reactions it was believed the process needed only short  
5 urea-formaldehyde condensate links to give good cross-  
6 linking parameters. Subsequently formed bonds were  
7 identified as reactive ether bonds which were subject  
8 to hydrolytic degradation. Various forms of analysis  
9 such as NMR and FTIR have confirmed that the  
10 degradation products are of low molecular weight and  
11 likely to comprise sugar units, urea, formaldehyde and  
12 small complexes of the latter components. It is of  
13 course possible to modify the hydroxyl groups available  
14 on the dextran for reaction (see for example EP-B-  
15 0,183,365).

16  
17 The use of dextran sulphate is desirable since the  
18 cross-linked polymer so produced contains sulphate  
19 groups available for binding, for example, to the  
20 heparin binding site of fibroblast growth factor.  
21 Fibroblast growth factors form a large family of  
22 structurally related, multifunctional proteins that  
23 regulate various biological responses and have been  
24 implicated in many developmental and regenerative  
25 events including axial organisation, mesodermal  
26 patterning, keratinocyte organisation and brain  
27 development. These compounds mediate cellular  
28 functions by binding to transmembrane fibroblast growth  
29 factor receptors, which are protein tyrosine kinases.  
30 Fibroblast growth factor receptors are activated by  
31 oligomerisation and both this activation and fibroblast  
32 growth factor stimulated biological responses, require

1 the presence of "heparin-like" molecules as well as  
2 fibroblast growth factor.

3  
4 Heparins are linear polysaccharide chains; they are  
5 typically heterogeneously sulphated on alternating L-  
6 iduronic and D-glycosamino sugars. A review of the  
7 fibroblast growth factor molecular complexes associated  
8 with heparin-like sugars has recently been undertaken  
9 (DiGabriele et al., 1998; ISSN 0028-0836). Heparin  
10 sulphates, the N-sulphated polysaccharide components of  
11 proteoglycans, are common constituents of cell surfaces  
12 and the extracellular matrix. The heparin sulphate  
13 polysaccharide chain has a unique molecular design in  
14 which the clusters of N and O-sulphated sugar residues,  
15 separated by regions of low sulphation, determine  
16 specific protein binding properties. Current data  
17 indicates that relatively long specific binding  
18 sequences of heparin sulphate may induce a  
19 conformational change in basic fibroblast growth  
20 factor, exposing a site on the protein that is  
21 recognised by signal transducing receptors. There are  
22 also suggestions that the core protein of plasma  
23 membrane heparin sulphate-proteoglycans may participate  
24 in the cell signalling process (Gallagher, 1994; ISSN  
25 0939-4974).

26  
27 The heparin sulphate chains are attached to various  
28 protein cores, which determine the location of the  
29 proteoglycan in the cell membrane and extracellular  
30 matrix. The diverse functions of heparin sulphate,  
31 which range from the control of blood coagulation to  
32 the regulation of cell growth and adhesion, depend on



1 the capacity of the chains to activate protein ligands,  
2 such as antithrombin III and members of the fibroblast  
3 growth factor family. These properties are currently  
4 being exploited in the development of synthetic heparin  
5 sulphates as anticoagulants and promoters of wound  
6 healing. Conversely organic mimics of growth factor-  
7 activating saccharides could possibly be designed to  
8 suppress tumour growth and prevent restenosis after  
9 coronary vessel angioplasty (Stringer and Gallagher,  
10 1997; ISSN 1357-2725). Earlier researchers had also  
11 reported on the theory that fibroblast growth factor  
12 receptors might be directly activated by a much wider  
13 range of ligands, including heparin sulphate  
14 proteoglycans and neural cell adhesion molecules as  
15 well as related sulphonated compounds (Green et al.,  
16 1996; ISSN 0265-9247). As early as 1994 research  
17 groups were investigating areas which would aid the  
18 design of synthetic sulphonated oligosaccharides aimed  
19 at improving the bioavailability of fibroblast growth  
20 factor when administered *in vivo* as a therapeutic agent  
21 (Coltrini et al., 1994; ISSN 0264-6021). Thus, Belford  
22 et al (1993) in *Journal of Cellular Physiology* 157 :  
23 184-189 describes the ability of several animal, plant  
24 and bacterial derived polyanions as well as synthetic  
25 polyanions to compete with heparin for the binding of  
26 acidic fibroblast growth factor and correlates this  
27 with their ability to potentiate the mitogenic and  
28 neurotrophic actions of this factor. Dextran sulphate,  
29 kappa-carrageenan, pentosan sulphate, polyanethole  
30 sulphonate, heparin and fucoidin were shown to compete  
31 for the heparin binding site on a fibroblast growth

1 factor at relatively low concentrations (<50 µg/ml).  
2 The differential effects of these polyanions in  
3 potentiating the biological activities of fibroblast  
4 growth factor in relation to their ability to compete  
5 for the heparin-binding site of a fibroblast growth  
6 factor is discussed. Similarly, Hoover et al (1980)  
7 (in Circulation Research 47: 578: 583) studied the in  
8 vitro effects of heparin on the growth of rat aortic  
9 smooth muscle cells. The results showed that there was  
10 a highly specific interaction with regard to molecule  
11 and cell type i.e. other polyanions. The suggestion  
12 was that heparin and related dextran sulphate could in  
13 some way bind to certain factors responsible for cell  
14 growth and subsequent proliferation.  
15  
16 Non-enzymic glycosylation of basic fibroblast growth  
17 factor has recently been demonstrated to decrease the  
18 mitogenic activity of intracellular basic fibroblast  
19 growth factor. Loss of this bioactivity has been  
20 implicated in impaired wound healing and  
21 microangiopathics of diabetes mellitus. In addition to  
22 intracellular localisation, basic fibroblast growth  
23 factor is widely distributed in the extracellular  
24 matrix, primarily bound to heparin sulphate  
25 proteoglycans. Nissen et al (1999) measured the effect  
26 of non-enzymic glycosylation on basic fibroblast growth  
27 factor bound to heparin, heparin sulphate and related  
28 compounds (see Biochemical Journal 338: 637-642). When  
29 heparin was added to basic fibroblast growth factor  
30 prior to non-enzymic glycosylation, the mitogenic  
31 activity and heparin affinity of basic fibroblast

1 growth factor were nearly completely preserved.  
2 Heparin sulphate, low molecular mass heparin and the  
3 polysaccharide, dextran sulphate, demonstrated a  
4 similar protective effect.

5

6 The invention is now further described by reference to  
7 the following, non-limiting, examples (together with a  
8 comparative example).

9

10 **Example 1**

11

12 90 ml of water was added to 50 g of 40,000 molecular  
13 weight dextran and manually mixed to encourage the  
14 dextran to enter into solution. Afterwards the mixture  
15 was placed on a magnetic stirrer and allowed to mix  
16 continuously for 15 minutes or until the solution was  
17 clear and particle free.

18

19 5 g of urea were then added to the solubilised dextran  
20 and the mixture placed back on the magnetic stirrer for  
21 a further 15 minutes to ensure that the urea had  
22 entered into solution with the dextran. Finally, 10 ml  
23 of formalin (a 38% (w/v) aqueous solution of  
24 formaldehyde hydrate) providing 3.8 g of formaldehyde  
25 was added to complete the mixture which was again  
26 allowed to stir for 15 minutes. This mixture was the  
27 impregnated into knitted polyester grafts using vacuum  
28 techniques.

29

30 Gels were formed by placing the dextran impregnated  
31 grafts in an oven at 150°C for 2 hours. During this

1 time a cross-linking reaction was taking place. Grafts  
2 were washed for a minimum of four hours to ensure  
3 removal of any residual formaldehyde. Finished grafts  
4 were softened by exposure to 100% glycerol for 10  
5 minutes followed by an alcohol wash to remove any  
6 excess glycerol. Grafts were then left to air dry.

7  
8 **Example 2**

9  
10 92 ml of water was added to 40 g of 40,000 molecular  
11 weight dextran and manually mixed to encourage the  
12 dextran to enter into solution. Afterwards, the  
13 mixture was placed on a magnetic stirrer and allowed to  
14 mix continuously for 15 minutes or until the solution  
15 was clear and particle free.

16  
17 4 g of urea were then added to the solubilised dextran  
18 and the mixture placed back on the magnetic stirrer for  
19 a further 15 minutes to ensure that the urea had  
20 entered into solution with the dextran. Finally, 8 ml  
21 of formalin (38% aqueous solution of formaldehyde  
22 hydrate) providing 3.04 g formaldehyde was added to  
23 complete the mixture which was again allowed to stir  
24 for 15 minutes. Knitted polyester grafts were vacuum  
25 impregnated with this mixture.

26  
27 Gels were formed by placing the grafts in an oven at  
28 50°C for 12 hours. During this time a cross-linking  
29 reaction was taking place. Grafts were washed for a  
30 minimum of four hours to ensure removal of any residual  
31 formaldehyde. Finished grafts were softened by

1 exposure to 80% (v/v, in water) glycerol for 10 minutes  
2 followed by an alcohol wash to remove any excess  
3 glycerol. Grafts were then left to air dry.

4

5 **Example 3 - Preparation of Dextran Blends**

6

7 Table 1: Dextran/dextran sulphate crosslinked blends

8

Dextran (g)	Dextran Sulphate (g)	Urea (g)	Formaldehyde (ml)	Water (ml)
10	0	1	2	18
9	1	1	2	18
8	2	1	2	18
7	3	1	2	18
6	4	1	2	18
5	5	1	2	18

9

10 Dextran of molecular weigh 40,000 was weighed and the  
11 corresponding weight of dextran sulphate of similar  
12 molecular weight were added together. The correct  
13 level of water was added and the substances mixed  
14 thoroughly until clear. The urea was mixed again before  
15 final addition of formaldehyde. The completed  
16 preparation was further mixed to ensure complete  
17 solubilisation. Gels were formed when the completed  
18 mix was placed in an oven for a specified time period.  
19 Samples were then washed for 3 hours in continuous  
20 running water.

21

22 Corresponding analysis (Dionex ion chromatography) to  
23 investigate the presence of sulphate groups in each of  
24 the samples showed significant detection of sulphation,

1 with least levels present in sample 1 (1 g of dextran  
2 sulphate) and most in sample 5 (5 g of dextran  
3 sulphate). It was proposed that the dextran sulphate  
4 had become entrapped within the network of cross-linked  
5 dextran chains to form an interpenetrating network with  
6 the potential to offer corresponding sulphation to the  
7 gels for subsequent attachment of growth factors. From  
8 the results various sulphanated gels could be prepared,  
9 see Examples 4 to 7.

10

#### 11 **Example 4**

12

13 90 ml of water was added to a mixture of 30 g of 40,000  
14 molecular weight dextran and 20 g of 40,000 molecular  
15 weight dextran sulphate and manually mixed to encourage  
16 the two forms of dextran to enter into solution with  
17 each other. Afterwards, the mixture was placed on a  
18 magnetic stirrer and allowed to mix continuously for 15  
19 minutes or until the solution was clear and particle  
20 free.

21

22 5 g of urea was added and the mixture placed back on  
23 the magnetic stirrer for a further 15 minutes to ensure  
24 that the urea had entered into solution with the two  
25 dextran species. Finally, 10 ml of formaldehyde was  
26 added to complete the mixture, which was again allowed  
27 to stir for 15 minutes.

28

29 Gels were formed by placing the dextran mixture into an  
30 oven at 50°C for a minimum of 12 hours. During this  
31 time, a cross-linking reaction took place. The

1 subsequent dextran mixtures were washed for a minimum  
2 of 3 hours under continuous running water.

3 **Example 5**

4  
5 90 ml of water was added to a mixture of 25 g of 40,000  
6 molecular weight dextran and 25 g of 40,000 molecular  
7 weight dextran sulphate and manually mixed to encourage  
8 the two forms of dextran to enter into solution with  
9 each other. Afterwards the mixture was placed on a  
10 magnetic stirrer and allowed to mix continuously for 15  
11 minutes or until the solution was clear and particle  
12 free.

13  
14 5 g of urea was added and the mixture was placed back  
15 on the magnetic stirrer for a further 15 minutes to  
16 ensure that the urea had entered into solution with the  
17 two dextran species. Finally, 10 ml of formaldehyde  
18 was added to complete the mixture, which was again  
19 allowed to stir for 15 minutes.

20  
21 Gels were formed by placing the dextran mixture into an  
22 oven at 50°C for a minimum of 12 hours. During this  
23 time a cross-linking reaction took place. The  
24 subsequent dextran mixtures were washed for a minimum  
25 of 3 hours under continuous running water.

26

27 **Example 6**

28

29 90 ml of water was added to a mixture of 30 g of 40,000  
30 molecular weight dextran and 20 g of 40,000 molecular  
31 weight dextran sulphate and manually mixed to encourage

1 the two forms of dextran to enter into solution with  
2 each other. Afterwards the mixture was placed on a  
3 magnetic stirrer and allowed to mix continuously for 15  
4 minutes or until the solution was clear and particle  
5 free.

6

7 5 g of urea was added and the mixture placed back on  
8 the magnetic stirrer for a further 15 minutes to ensure  
9 that the urea had entered into solution with the two  
10 dextran species. Finally, 10 ml of formaldehyde was  
11 added to complete the mixture, which was again allowed  
12 to stir for 15 minutes.

13

14 Gels were formed by placing the dextran mixture into an  
15 oven at 100°C for a minimum of 2 hours. During this  
16 time a cross-linking reaction took place. The  
17 subsequent dextran mixtures were washed for a minimum  
18 of 3 hours under continuous running water.

19

#### 20 **Example 7**

21

22 90 ml of water was added to a mixture of 25 g of 40,000  
23 molecular weight dextran and 25 g of 40,000 molecular  
24 weight dextran sulphate and manually mixed to encourage  
25 the two forms of dextran to enter into solution with  
26 each other. Afterwards the mixture was placed on a  
27 magnetic stirrer and allowed to mix continuously for 15  
28 minutes or until the solution was clear and particle  
29 free.

30



1 5 g of urea was added and the mixture placed back on  
2 the magnetic stirrer for a further 15 minutes to ensure  
3 that the urea had entered into solution with the two  
4 dextran species. Finally, 10 ml of formaldehyde was  
5 added to complete the mixture, which was again allowed  
6 to stir for 15 minutes.

7  
8 Gels were formed by placing the dextran mixture into an  
9 oven at 100°C for a minimum of 2 hours. During this  
10 time a cross-linking reaction took place. The  
11 subsequent dextran mixtures were washed for a minimum  
12 of 3 hours under continuous running water.

#### 13 14 **Example 8 - Resorption Rates**

15  
16 The resorption rate of sealant from dextran sealed  
17 grafts made according to Examples 1 and 2 were  
18 determined *in vitro* by incubating graft samples of  
19 known weight in buffer and weighing the grafts again  
20 after drying to measure the amount of sealant  
21 remaining. Urea formaldehyde cross-linked dextran was  
22 found to be hydrolysed at a rate comparable to the  
23 gelatin sealant of EP-B-0,183,365.

24  
25 The hydrolysis profiles of urea-formaldehyde cross-  
26 linked dextran and formaldehyde cross-linked gelatin  
27 grafts are detailed in Table 2. Hydrolysis was  
28 performed at 37°C over a period of up to 4 weeks at 125  
29 rpm.

30

1    **Table 2**

2

3    Comparative hydrolysis results for dextran and gelatin  
4    coated vascular grafts. The gelatin coated grafts were  
5    produced in accordance with Example 1 of EP-B-  
6    0,183,365.

7

Day	% gel degraded	
	Dextran	Gelatin*
0	0	0
3	5	30
6	15	70
12	25	95
28	95	100

8

9    \*Comparative Example

10

11    **Example 9 - Implantation**

12

13    Grafts prepared according to Example 1 were implanted  
14    into the abdominal aorta of dogs for 2 weeks and 4  
15    weeks respectively. Histological examination of the  
16    explanted devices showed that the sealant was resorbed  
17    as expected within 1 month and that the normal healing  
18    process was not adversely affected.

19

1    **Claims**

2

3    1    A bioresorbable sealant composition for coating a  
4        prosthetic graft, said composition comprising a  
5        polymer formed by cross-linking dextran molecules  
6        by formaldehyde and urea condensation.

7

8    2    The sealant as claimed in Claim 1, wherein said  
9        dextran molecules include naturally occurring  
10       dextran, hydrophilic hydroxyl group-containing  
11       derivatives of dextran or modified forms of  
12       dextran containing other reactive groups, for  
13       example dextran sulphate.

14

15   3    The sealant as claimed in Claim 1, wherein said  
16       naturally occurring dextran is provided by  
17       fermentation using *Leuconostoc mesenteroides*  
18       bacteria.

19

20   4    The sealant as claimed in any one of Claims 1 to 3  
21       wherein the dextran molecules have a molecular  
22       weight of 30,000 to 60,000.

23

24   5    A method of producing a substantially non-porous  
25       graft by exposing at least one surface of a  
26       flexible material to a mixture of dextran, urea  
27       and formaldehyde, and incubating at temperatures  
28       of from 20°C to 250°C for a time sufficient for  
29       cross-linking of said dextran on said surface to  
30       take place.

31

1     6     The method as claimed in Claim 5 wherein the  
2           temperature is from 30°C to 200°C.

3  
4     7     The method as claimed in either one of Claims 5  
5           and 6 wherein said flexible material is a  
6           polyester knitted or woven fabric, or a PTFE-based  
7           material.

8  
9     8     The method as claimed in Claim 7 wherein said  
10          fabric material is expanded PTFE.

11  
12    9     The method as claimed in any one of Claims 5 to 8  
13          further including the step of practising said  
14          cross-linked dextran by exposure of said coated  
15          surface to glycerol and, optionally, thereafter  
16          removing excess glycerol by alcohol rinsing.

17  
18    10    A prosthetic graft impregnated or coated with the  
19          bioresorbable sealant as claimed in any one of  
20          Claims 1 to 4.

21  
22    11    A method of forming polymerised dextran for use as  
23          a biodegradable coating for a prosthetic graft,  
24          said method comprising:

25  
26          a)    exposing a water-based solution of dextran to  
27                2 to 25 (weight %) of urea and allowing the  
28                urea to enter into solution to form a  
29                mixture;

30  
31          b)    exposing the mixture of step a) to  
32                formaldehyde;

1           c)    heating the mixture of step b) at  
2                   temperatures between 20 to 250°C for a time  
3                   sufficient to allow polymerisation to occur.  
4

5    12    The method as claimed in Claim 11 wherein 50 to  
6           100% (by weight) of formaldehyde, by reference to  
7           the weight of urea, is added.  
8

9    13    The method as claimed in Claim 12 wherein 70 to  
10           80% (be weight) of formaldehyde, by reference to  
11           the weight of urea, is added.  
12

13   14    The method as claimed in any one of Claims 11 to  
14           13 wherein the temperature is from 30°C to 200°C.  
15

16   15    The method as claimed in any one of Claims 11 to  
17           14 wherein said dextran has a molecular weight of  
18           30,000 to 60,000.  
19

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

Attorney's Docket Number \_\_\_\_\_

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"Sealant for Vascular Prostheses" ✓

the specification of which:

[c] was filed as a PCT International Application Number PCT/GB00/03343 ✓ on 1 September 2000. ✓

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR §1.56.

I hereby claim foreign priority documents under 35 U.S.C §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S)**

COUNTRY	APPLICATION NUMBER	DATE OF FILING	PRIORITY CLAIMED
GB ✓	9920732.6 ✓	3 September 1999 ✓	YES

I hereby claim the benefit under 35 U.S.C §120 of any United States application(s) or §365(c) of any PCT international application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56 which become available between the filing date of the prior application and the national or PCT international filing date of this application:

**PRIOR US APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE US FOR BENEFIT UNDER 25 U.S.C §120**

APPLICATION No.	DATE OF FILING	PATENTED	PENDING	ABANDONED
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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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I acknowledge the duty to disclose information which is material to the examination of this Application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on the information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the Application or any Patent issuing thereon.

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